

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/29/2006

WAYNE P BAILEY  
 STORAGE TECHNOLOGY CORPORATION  
 ONE STORAGETEK DRIVE MS 4309  
 LOUISVILLE, CO 800284309

06/05/2006 HTECKLU2 00000053 194545 09371588

01 FC:1501 1400.00 DA  
 02 FC:8001 6.00 DA

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Stephanie Klepp

(Depositor's name)

*Stephanie Klepp*  
 30 May 2006

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/371,588	08/10/1999	ARCHIBALD W. SMITH	99-038-TAP	9428

TITLE OF INVENTION: COMPACT OPTICAL TRACKING SYSTEM FOR MAGNETIC TAPE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	06/29/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
SNIEZEK, ANDREW L	2627	360-077010

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Yee & Associates, P.C.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Storage Technology Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Louisville, Colorado

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 2

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-4545 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Timothy R. Schulte*

Date

2 May 2006

Typed or printed name

Timothy R. Schulte

Registration No.

29,013

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the U.S. Postal Service as first class mail in a sealed envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: 30 May 2006

Date of Deposit

Printed Name: Stephanie Klepp

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: ARCHIBALD W. SMITH

EXAMINER: A.L. Sniezek

SERIAL NO.: 09/371,588

ART UNIT: 2627

FILED: August 10, 1999

DATE OF NOTICE OF  
ALLOWANCE: March 29, 2006

FOR: COMPACT OPTICAL TRACKING SYSTEM FOR MAGNETIC TAPE

**ISSUE FEE TRANSMITTAL**

**MAIL STOP ISSUE FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following documents related to the above-identified application:

☒ Acknowledgment of Receipt Card

☒ Formal drawings submitted to PTO  
July 9, 2002

☒ Issue Fee Transmittal Form

☒ Please charge Deposit Account No. 19-4545 in the amount of \$1,406.00 (\$1,400.00 for Issue Fee and \$6.00 for Advance Order Fee).

☒ We believe no additional fees are required. If, however, additional fees are, indeed, required, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 19-4545.

☒ Two additional copies of this sheet are enclosed.

Dated: 2 May 2006

Respectfully submitted,

STORAGE TECHNOLOGY CORPORATION

By: Timothy R. Schulte

Timothy R. Schulte

Registration No. 29,013

One StorageTek Drive, MS-4309

Louisville, CO 80028-4309

Telephone: (303) 673-5989

Facsimile: (303) 673-4151